

IFW 2823

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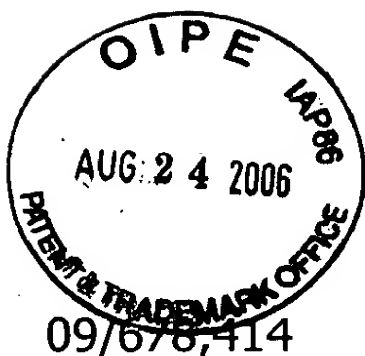
|   |                             |                               |                       |
|---|-----------------------------|-------------------------------|-----------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/678,414                    |                       |
|   | <b>Filing Date</b>          | October 2, 2000               |                       |
|   | <b>First Named Inventor</b> | David W. Carlson              |                       |
|   | <b>Group Art Unit</b>       | 2823                          |                       |
|   | <b>Examiner Name</b>        | Kebede, Brook                 |                       |
| <b>Total Number of Pages in This Submission</b>   | 28                          | <b>Attorney Docket Number</b> | 100-13603 (P04797-F3) |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final (Response)<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement and Form PTO-1449<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Brief<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Inquiry<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>Return Receipt Postcard<br>Certificate of Mailing<br>Appendix A (2 pages)<br>Appendix B (2 pages)<br>Appendix C (1 page) |
| <b>Remarks</b>   |  | <b>Please charge any necessary fees or credit overpayment to Deposit Account No. 502305. A duplicate copy of this transmittal is attached for this purpose.</b>  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                    |
|--|------------------------------------|
| <b>Firm or Individual name</b>             | Mark C. Pickering, Reg. No. 36,239 |
| <b>Signature</b>                           |                                    |
| <b>Date</b>                                | August 21, 2006                    |

| CERTIFICATE OF MAILING  |               |             |                 |
|---|---------------|-------------|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: M/S Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: August 21, 2006 |               |             |                 |
| <b>Typed or printed name</b>  | Robin L. King |             |                 |
| <b>Signature</b>  |               | <b>Date</b> | August 21, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Dated: August 21, 2006 By: John L. King

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David W. Carlson

Appln. No.: 09/678,414

Filed: October 2, 2000

For: METHOD FOR PLANARIZING A THIN FILM

Group Art Unit: 2823

Examiner: Brook Kebede

AMENDMENT IN RESPONSE TO OFFICE ACTION DATED MAY 31, 2006

### INTRODUCTORY COMMENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action mailed May 31, 2006, please amend the above-identified application as indicated in the following:

**Amendments to the Specification** which begins on page 2 of this paper;  
**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper;  
**Remarks** which begin on page 9 of this paper;  
**Appendix A** which begins on page 19 of this paper;  
**Appendix B** which begins on page 20 of this paper; and  
**Appendix C** which begins on page 21 of this paper.

AMENDMENT IN RESPONSE TO OFFICIAL  
ACTION MAILED MAY 31, 2006

Atty. Docket No. 100-13603  
(P04797-F3)